Annual Filing for Charitable Organizations
New York State Department of Law (Office of the Attorney General)
Charities Bureau - Registration Section
120 Broadway
New York, NY 10271
www.oag.state.ny.us/charities/charities.html

2006
Open to Public Inspection

1. General Information

a. For the fiscal year beginning [mm/dd/yyyy] 07/01/2006 and ending [mm/dd/yyyy] 06/30/2007
b. Check if applicable for NYS:
   i. Address change
   ii. Name change
   iii. Initial filing
   iv. Final filing
   v. Amended filing
   vi. NY registration pending

c. Name of organization:
   RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK

d. Fed. employer ID no. (EIN) (If applicable): 13-1988190

e. NYS State registration no. (42-08-09-PF):

f. Telephone number:
   (212) 417-8503

2. Certification - Two Signatures Required

We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct, and complete in accordance with the laws of the State of New York applicable to this report.

a. President or Authorized Officer
   Signature: ____________________________
   Printed Name: RICHARD F. ROTHARD
   Title: PRESIDENT

b. Chief Financial Officer or Treasurer
   Signature: ____________________________
   Printed Name: EDWARD KALATDJIAN
   Title: CFO

3. Annual Report Exemption Information

a. Article 7-A annual report exemption (Article 7-A registrants and dual registrants)
   Check [ ] if total contributions from NY State (including residents, foundations, corporations, government agencies, etc.) did not exceed $25,000 and the organization did not use the services of a professional fund nuevos (PFNs) or fundraising counsel (FRC) to solicit contributions during this fiscal year.

   NOTE: An organization may also check the box to claim this exemption if no PFR or FRC was used and either: 1) the organization received an allocation from a federated fund, United Way or incorporated community appeal and contributions from all other sources did not exceed $25,000 at 2) it received all or substantially all of its contributions from a single government agency to which it submitted an annual financial report similar to that required by Article 7-A.

e. EPTL annual report exemption (EPTL registrants and dual registrants)
   Check [ ] if total gross receipts for this fiscal year did not exceed $25,000 and the assets (market value) of the organization did not exceed $25,000 at the end of this fiscal year.

For EPTL, or Article 7-A registrants claiming the annual report exemption under the one-law under which they are registered and for dual registrants claiming the annual report exemption under both laws, simply complete part 1 (General Information), part 2 (Certification) and part 3 (Annual Report Exemption Information) above.

4. Article 7-A Schedules

If you did not check the Article 7-A annual report exemption above, complete the following for this fiscal year:

a. Did the organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State?
   * "Yes" [ ] No [ ]

b. Did the organization receive government contributions (grants)?
   * "Yes" [ ] No [ ]

5. Fee Submittal: See last page for summary of fee requirements.

Indicate the filing fee(s) you are submitting along with this form:

a. Article 7-A filing fee

b. EPTL filing fee

c. Total fee

Submit only one check or money order for the total fee, payable to "NY State Department of Law".

6. Attachments: For organizations that are not claiming annual report exemptions under both laws, see last page for required attachments.

Form CHAR500 (2006)

016830 82TM
V06-8.6 R6290.0NY001
<table>
<thead>
<tr>
<th>Service Description</th>
<th>Amount Paid to FRP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide professional fundraising services to assist CUNY by providing guidance on</td>
<td>$70,000</td>
</tr>
<tr>
<td>major gifts, fund raising and ongoing counsel to the &quot;Invest in CUNY Campaign.&quot;</td>
<td></td>
</tr>
</tbody>
</table>

Form CHAR502 (2006)

016830 827M

V06-8.6 XFC609.NY001
Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

1. Type of fund raising professional (FRP):
   - Professional fund raiser
   - Fund raising counsel
   - Commercial co-venturer

   Commercial co-venturer

2. Name of FRP:
   FUTURE FUNDS LLC

   Number and street (or P.O. box if mail is not delivered to street address):
   58 TROONNYCROFT AVENUE

   City or town, state or country and zip + 4:
   STATEN ISLAND, NY 10312

3. FRP telephone number:
   212-567-5680

4. Services provided by FRP (provide description):
   PROVIDE PROFESSIONAL FUNDRAISING SERVICES TO PLAN AND IMPLEMENT A PLANNED GIVING PROGRAM THAT WILL BE IN EFFECT FOR TWELVE MONTHS.

5. Compensation arrangement with FRP (provide description):
   BILLED MONTHLY FOR PROFESSIONAL FEES DURING THE MONTH AS SERVICES ARE PROVIDED. MONTHLY AMOUNTS VARY DEPENDING ON THE CONTRACT.

6. Dates of contract
   - 06/01/2005 through 05/31/2008

7. Amount paid to FRP
   - $199,992

Form CHAR500 (2006)
Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

1. Type of fund raising professional (FRP):
   - Professional fund raiser ................................................................. X
   - Fund raising counsel .................................................................
   - Commercial co-venturer ............................................................

2. Name of FRP:
   HARVEST FNC, INC.

   Number and street (or P.O. box if mail is not delivered to street address):
   92 COLONIAL DRIVE

   City or town, state or country and zip + 4:
   NEWTOWN, PA 18940

3. FRP telephone number:
   215-262-6508

4. Services provided by FRP (provide description):
   PROVIDE PROFESSIONAL FUNDRAISING SERVICES TO MANAGE THE IDENTIFICATION, RESEARCH, STRATEGY, SCHEDULING, AND SOLICITATION OF THE TOP 50 CUNY MAJOR GIFT PROSPECTS.

5. Compensation arrangement with FRP (provide description):
   BILLED MONTHLY FOR PROFESSIONAL FEES DURING THE MONTH AS SERVICES ARE PROVIDED. MONTHLY AMOUNTS VARY DEPENDING ON THE CONTRACT.


7. Amount paid to FRP ................................................................. $ 70,629.

Form CHAR500 (2008)

016830 827M V06-8.6 RFC600.NY001
Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

1. Type of fund raising professional (FRP):
   Professional fund raiser: 
   Fund raising counsel: 
   Commercial co-venturer: X

2. Name of FRP:
   JEANNE SIGLER & ASSOCIATES

   Number and street (or P.O. box if mail is not delivered to street address):
   222 E. 46 STREET, SUITE 491

   City or town, state or country and zip: 
   NEW YORK, NY 10017

3. FRP telephone number:
   212-730-4451

4. Services provided by FRP (provide description):
   HELP QUEENS COLLEGE IDENTIFY PROSPECTIVE FUNDERS FROM CENTERS AND PRIORITY PROJECTS AT THE COLLEGE WHICH WILL ENABLE THE COLLEGE TO BEGIN A PROCESS OF FOUNDATION AND CORPORATE FUNDRAISING.

5. Compensation arrangement with FRP (provide description):
   BILLED MONTHLY FOR PROFESSIONAL FEES DURING THE MONTH AS SERVICES ARE PROVIDED. MONTHLY AMOUNTS VARY DEPENDING ON THE CONTRACT.

6. Dates of contract:
   01/01/2007 through 03/31/2007

7. Amount paid to FRP:
   $29,850.

Form CHAR500 (2006)
### Government Contributions (Grants)

If you checked the box in question 4.b. on page 1, complete the following schedule for each government contribution (grant). Use additional copies of this page if necessary to list each government contribution (grant) separately.

<table>
<thead>
<tr>
<th>Government Agency Name</th>
<th>Grant Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>NATIONAL SCIENCE FOUNDATION</td>
<td>$ 20,776,233.</td>
</tr>
<tr>
<td>US DEPARTMENT OF EDUCATION</td>
<td>$ 19,932,857.</td>
</tr>
<tr>
<td>OTHER FEDERAL SPONSORS</td>
<td>$ 21,950,619.</td>
</tr>
<tr>
<td>NEW YORK STATE EDUCATION DEPARTMENT</td>
<td>$ 45,873,724.</td>
</tr>
<tr>
<td>NYC DEPARTMENT OF EDUCATION</td>
<td>$ 87,689,729.</td>
</tr>
</tbody>
</table>

**Total Government Contributions (Grants)**: $ 238,352,512.