

New Filing

Update or Renewal for FWA Number: **FWA00003623**

**U.S. Department of Health and Human Services (DHHS)  
Federalwide Assurance (FWA) for the Protection of Human Subjects  
For Domestic (U.S.) Institutions**

**1. Institution Filing Assurance**

Legal Name: **THE CITY UNIVERSITY OF NEW YORK AND THE RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK**

City: **New York**

State: **New York**

DHHS Institution Profile File (IPF) code, if known:

Federal Entity Identification Number (EIN), if known: 13-3893536.

If this Assurance replaces an MPA or CPA, please provide the “M” or “T” number:

**2. Institutional Components**

List below all components over which the Institution has legal authority that operate under a different name. Also list with an asterisk (\*) any alternate names under which the Institution operates. The Institution should have available for review by the Office for Human Research Protections (OHRP) upon request a brief description and line diagram explaining the interrelationships among the Assurance Signatory Official, the Institutional Review Board (IRB), IRB support staff, and investigators in these various components.

NOTE: The Signatory Official signing this Assurance must be legally authorized to represent the Institution providing this Assurance and all components listed below. Entities that the Signatory Official is not legally authorized to represent may not be listed here without the prior approval of OHRP.

**G** Please check here if there are no additional components or alternate names.

<b>Name of Component or Alternate Names Used</b>	<b>City</b>	<b>State (or Country if Outside U.S.)</b>
<b>CUNY – Bernard M. Baruch College</b>	<b>New York</b>	<b>NY</b>
<b>CUNY – Bronx Community College</b>	<b>Bronx</b>	<b>NY</b>
<b>CUNY – Brooklyn College</b>	<b>Brooklyn</b>	<b>NY</b>
<b>CUNY – City College of New York &amp; Sophie Davis School of Biomedical Education</b>	<b>New York</b>	<b>NY</b>

CUNY – Graduate School & University Center	New York	NY
CUNY – Hunter College	New York	NY
CUNY – John Jay College of Criminal Justice	New York	NY
CUNY – Kingsborough Community College	Brooklyn	NY
CUNY – Fiorello H. LaGuardia Community College	Long Island City	NY
CUNY – Borough of Manhattan Community College	New York	NY
CUNY – Herbert H. Lehman College	Bronx	NY
CUNY – Medgar Evers College	Brooklyn	NY
CUNY – New York City College of Technology	Brooklyn	NY
CUNY – Queens College	Flushing	NY
CUNY – Queensborough Community College	Bayside	NY
CUNY – College of Staten Island	Staten Island	NY
CUNY – York College	Jamaica	NY
CUNY – Hostos Community College	Bronx	NY
CUNY – College of Law	Flushing	NY

### 3. Statement of Principles

This Institution assures that all of its activities related to human subject research, regardless of funding source, will be guided by the ethical principles in the following document(s). (*indicate below*)

***The Belmont Report***

***Other*** (*please submit copy to OHRP with this Assurance*)

### 4. Applicability

(a) This Institution assures that all of its activities related to federally-conducted or -supported human subject research will comply with the **Terms of Assurance for Protection of Human Subjects for Institutions Within the United States**. NOTE: The Terms of Assurance are contained in a separate document on the OHRP website.

(b) *Optional*: This Institution elects to apply the following to all of its human subject research regardless of source of support:

***45 CFR 46 and all of its subparts (A,B,C,D)***

***Common Rule (e.g., 45 CFR 46, subpart A)***

### 5. Designation of Institutional Review Boards (IRBs)

This Institution designates the following IRB(s) for review of research under this Assurance (*if the IRB is not previously registered with DHHS or has not provided a membership roster to DHHS, please attach the appropriate IRB registration materials available on the OHRP website*).

NOTE: Reliance on another institution’s IRB or an independent IRB must be documented by a written agreement that is available for review by OHRP upon request. OHRP’s sample IRB Authorization Agreement may be used for this purpose, or the institutions involved may develop their own agreement. Future designation of other IRBs requires update of the FWA.

<b>DHHS IRB Registration Number</b>	<b>Name of IRB As Registered with DHHS</b>
IRB00000131	CUNY - Baruch College – IRB # 1
IRB00000132	CUNY - Bronx Community College – IRB # 2
IRB00000133	CUNY - Brooklyn College – IRB # 3
IRB00000134	CUNY - City College of New York & Sophie Davis School of Biomedical Education– IRB # 4
IRB00000135	CUNY - Graduate School & University Center – IRB # 5
IRB00000136	CUNY - Hunter College – IRB # 6
IRB00000137	CUNY - John Jay College of Criminal Justice – IRB # 7
IRB00000138	CUNY - Kingsborough Community College – IRB # 8
IRB00000139	CUNY - Fiorello H. LaGuardia Community College – IRB # 9
IRB00000140	CUNY - Borough of Manhattan Community College – IRB # 10
IRB00000141	CUNY - Herbert H. Lehman College – IRB # 11
IRB00000142	CUNY - Medgar Evers College – IRB # 12
IRB00000143	CUNY - New York City College of Technology – IRB # 13
IRB00000144	CUNY - Queens College – IRB # 14
IRB00000145	CUNY - Queensborough Community College – IRB # 15
IRB00000146	CUNY - College of Staten Island – IRB # 16
IRB00000147	CUNY - York College – IRB # 17
IRB00000148	CUNY - Hostos Community College – IRB # 18
IRB00000149	CUNY-Wide IRB – IRB # 19
IRB00004471	CUNY - Hunter College – IRB # 20
IRB00004578	CUNY - Queens College - IRB # 21

**6. Human Protections Administrator (e.g., Human Subjects Administrator or Human Subjects Contact Person)**

First Name: **Patricia**                      Middle Initial: **A.**                      Last Name: **MacCubbin**

Degrees or Suffix (e.g., MD, PhD): **MS** Institutional Title: **Director, Office of Research Conduct**

Institution: **The City University of New York**

Telephone: **212.794.5476** FAX: **212.794.5378** E-Mail: **Patricia.MacCubbin@mail.cuny.edu**

Address: **535 E 80<sup>th</sup> Street**

City: **New York**

State: **New York**

Zip Code: **10021**

**7. Signatory Official (i.e., Official Legally Authorized to Represent the Institution -- cannot be IRB Chairperson or IRB member)**

*7.a. I understand that the Assurance Training Modules on the OHRP website describe the responsibilities of the Signatory Official, the IRB Chair(s), and the Human Protections Administrator under this Assurance. Additionally, I recognize that providing all research investigators, IRB members and staff, and other relevant personnel with appropriate initial and continuing education about human subject protections will help ensure that the requirements of this Assurance are satisfied.*

Acting officially in an authorized capacity on behalf of this Institution and with an understanding of the Institution's responsibilities under this Assurance, I assure protections for human subjects as specified above. The IRB(s) designated above are to provide oversight for all research conducted under this Assurance. These IRB(s) will comply with the **Terms of Assurance** and possess appropriate knowledge of the local context in which this Institution's research will be conducted. I understand that all collaborating institutions engaged in federally-conducted or -supported human subject research must submit their own Assurance.

All information provided with this Assurance is up to date and accurate. *I am aware that false statements could be cause for invalidating this Assurance and may lead to other administrative or legal action.*

Signature: \_\_\_\_\_ Date: April 7, 2005\_\_\_\_\_

First Name: **Gillian**

Middle Initial: **M**

Last Name: **Small**

Degrees or Suffix (e.g., MD, PhD): **PhD**  
**Research**

Institutional Title: **University Dean for**

Telephone: **212.794.5417** FAX: **212.794.5378** E-Mail: **Gillian.Small@mail.cuny.edu**

Address: **535 E 80<sup>th</sup> Street**

City: **New York**

State: **New York**

Zip Code: **10021**

NOTE: Facilities operated by the U.S. Government may require Department or Agency clearance. Please contact the relevant Department or Agency Human Protections Officer before forwarding this Assurance to OHRP.

*7.b. I understand that the Assurance Training Modules on the OHRP website describe the responsibilities of the Signatory Official, the IRB Chair(s), and the Human Protections Administrator under this Assurance. Additionally, I recognize that providing all research investigators, IRB members and staff,*

*and other relevant personnel with appropriate initial and continuing education about human subject protections will help ensure that the requirements of this Assurance are satisfied.*

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Signature: \_\_\_\_\_ Date: April 8, 2005

First Name: **Richard** Middle Initial: **F** Last Name: **Rothbard**

Degrees or Suffix (e.g., MD, PhD): \_\_\_\_\_ Institutional Title: **President, Research Foundation of City University of New York**

Telephone: **212-417-8507** FAX: **212.417.8510** E-Mail: **Richard\_Rothbard@rfcuny.org**

Address: **555 W 57<sup>th</sup> Street, 11<sup>th</sup> Floor**

City: **New York** State: **New York** Zip Code: **10019-2925**

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**8. DHHS Approval**

The Federalwide Assurance of Protection for Human Subjects submitted to DHHS by the above Institution is hereby approved.

Assurance Number: FWA00003623 Expiration Date: June 14, 2008

Signature of DHHS Approving Official: Email from Janice Walden \_\_\_\_\_ Date: June 15, 2005